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E.O. 11652: GDS

TAGS: OVIP, PFOR, PGOV, WB, GW, US, UK/FR, (KISSINGER, HENRY A.) SUBJECT: 1976 QUDRIPARTITE MEETING ON BERLIN AND GERMANY: REPRESENTATION STUDY

AS REPORTED SEPTEL, MINISTERS AT THE QUADRIPARTITE DINNER ON DEC 8 APPROVED THE REVISED TEXT OF THE "OPTIONS" PORTION OF THE BONN GROUP STUDY ON PROBLEMS IN CONNECTION WITH THE REPRESENTATION BY THE FRG OF THE INTERESTS OF BERLIN ABROAD. FOLLOWING IS THE FINAL TEXT OF THAT SECTION OF THE STUDY (REPLACING THE TEXT PREVIOUSLY REPORTED IN BONN 20112):

BEGIN TEXT

III OPTIONS

16. THE PROBLEMS IN THE FIELD OF REPRESENTATION ABROAD ARE OF VARYING MAGNITUDE. AMONG THE MORE SIGNIFICANT ARE: THE INABILITY OF THE FRG TO DEVELOP ITS TREATY COOPERATION WITH EASTERN BLOC COUNTRIES; THE PROBLEM OF INCLUDING BERLIN IN CURRENT AND FORTHCOMING EUROPEAN NEGOTIATIONS SUCH AS EED/CMEA AND CSCE CONFIDENTIAL

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FOLLOW-UP AND SOVIET OPPOSITION TO THE PARTICIPATION OF BERLIN

IN THE PROGRESSIVE DEVELOPMENT OF THE EEC. WHILE OTHER PROBLEMS MENTIONED IN SECTION I APPEAR IN THEMSELVES TO BEOF LESSER IMPORTANCE, THEY BECOME SIGNIFICANT WHEN TAKEN AS A WHOLE AND TO THE EXTENT THAT THEY MIGHT PREJEDICE THE LONG-TERM INTERNATIONAL POSITION OF THE WEST ON BERLIN.

17. SINCE, IN PRESENT CIRCUMSTANCES AT LEAST, IT IS EXCLUDED THAT THE SOVIET UNION WILL FUNDAMENTALLY CHANGE ITS POSITION AND SINCE THE WESTERN SIDE FOR ITS PART HAS NO INTENTION OF RENOUNCING ITS RIGHTS, THE IMPROVEMENTS WHICH MIGHT BE ENVISAGED MUST BE ESSENTIALLY PRAGMATIC. THEY WOULD HAVE THE AIM OF PERMITTING SOME PROGRESS, ALBEIT VERY SLOW, TOWARDS A BETTER IMPLEMENTATION OF THE QA.

PROTECTING THE WESTERN INTERNATIONAL POSITION

18. THE ALLIES AND THE FRG HAVE SO FAR BEEN REPLYING FAIRLY SYSTEMATICALLY TO ALL UNACCEPTABLE PROTEST OR STATEMENTS BY THE SOVIET UNION OR HER ALLIES IN INTERNATIONAL FORA. IN THE COURSE OF THIS WAR OF NOTES EACH SIDE HAS BEEN ABLE TO EXPLAIN ITS LEGAL POSITION. THE NEED NOW IS, THEREFORE, TO STRENGTHEN OUR POLITICAL POSITION.

A. LEGAL

19. THE WESTERN LEGAL POSITION IS NOW SET OUT IN A SUBSTANTIAL BODY OF REFERENCES AND DOCTRINE. IT SHOULD THEREFORE BE EXAMINED WHETHER IT WOULD BE USEFUL TO REPEAT THE POSITION IN AN EXHAUSTIVE WAY EACH TIME IN INTERNATIONAL ORGANIZATIONS. IN THIS AREA WE COULD LIMIT OUR ACTION TO:

- (A) CONFIRMING KNOWN POSITIONS;
- (B) DEVELOPING OUR ARGUMENTATION ONLY WHEN A NEW PROBLEM HAS BEEN RAISED, OR WHEN WE JUDGE THAT THE ARGUMENT IS OF SUFFICIENT IMPORTANCE TO NEED REPETITION; AND (C) RECALLING, IF AND WHEN APPROPRIATE, THAT THE STATUS OF BERLIN ALSO APPLIES TO ITS EASTERN SECTOR.
- 20. IN THESE CIRCUMSTANCES WE MIGHTIMPROVE THE EFFECTIVENESS OF CONFIDENTIAL

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OUR ACTION BY:

(A) GIVING ALLIED DELEGATIONS IN THE MAJOR INTERNATIONAL ORGANIZATIONS STANDING INSTRUCTIONS WHICH THEY WOULD HAVE DISCRETION TO USE WHEN A BERLIN PROBLEM AROSE IN ORDER TO RESTATE OR RECALL SOME PREVIOUS ALLIED INTERVENTION;

(B) ENSURING THAT ALLIED REPRESENTATIVES AT INTERNATIONAL

MEETINGS ARE PROVIDED WITH PRECISE AND IDENTICAL INSTRUCTIONS WHEN IT APPEARS LIKELY THAT A BERLIN PROBLEM WILL BE RAISED (IN PARTICULAR THAT OF THE PARTICIPATION OF AN OFFICIAL FROM A FEDERAL AGENCY SITUATED IN BERLIN);

(C) DEFINING A COMMONWESTERN POSITION ON THE DESIGNATION OF BERLIN IN INTERNATIONAL DOCUMENTATION, A POSITION WHICH WOULD BE KNOWN BY ALLIED REPRESENTATIVES IN THE INTERNATIONAL ORGANIZATIONS CONCERNED:

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(D) TAKING WHATEVER ACTION MAY BE PRACTICABLE TO ENSURE IN ADVANCE THAT THE PARTICIPATION OF FEDERAL OFFICIALS FROM BERLIN AT MEETINGS IN EASTERN EUROPE WOULD NOT BE OBSTRUCTED: THE FRG, WITH THE SUPPORT OF THE THREE ALLIES COULD, FOR EXAMPLE, REQUEST THE CONCLUSION OF APPROPRIATELY BINDING HOST-GOVERNMENT AGREEMENTS; AND

(E) AVOIDING AS MUCH AS POSSIBLE DRAMATIZING IN THE WEST INCIDENTS WHICH ARE IN THEMSELVES OF LITTLE IMPORTANCE.

B. POLITICAL

- 21. IN THE FIELD OF PROTECTING THE WESTERN POLITICAL POSITON WE MUST COMBINE RESOLUTE DEFENSE OF BERLIN'S INTERESTS WITH A DUE REGARD FOR THE NEED NOT TO FORFIET THE SYMPATHY OF THHIRD COUNTRIES. WE MUST THEREFORE INFORM AND CONVINCE IN ORDER NEVER TO BE OBLIGED TO OFFEND OR EXERT PRESSURE:
- (A) THE FIRST POSSIBILITY WOULD BE TO BRIEF AS APPROPRIATE THIRD COUNTRIES AND THE SECRETARIATS OF INTERNATIONAL ORGANIZATIONS ON THE BASIS OF THE DOCUMENT PREPARED BY THE BONN CONFIDENTIAL.

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GROUP.

- (B) IN INTERNATIONAL ORGANIZATIONS THE ALLIES COULD MAKE CLEAR AS THE NEED AROSE THAT THEY COULD NOT ACCEPT CONCESSIONS TO SOVIET OR GDR DEMANDS. THEY COULD MOREOVER FROM TIME TO TIME EXPLAIN THE PROBLEMS WE FACE TO INTERNATIONAL CIVIL SERVANTS WHO MIGHT BE RECEPTIVE TO SUCH AN APPROACH.
- (C) ON THE CONDITION OF THEMSELVES NOT STARTING QUARRELS, THE ALLIES COULD DENOUNCE EASTERN ATTEMPTS TO DISTURB THE WORK OF TECHNICAL CONFERENCES BY INTRODUCING POLITICAL QUESTIONS.
- (D) THE EASTERN COUNTRIES SHOULD BE ENCOURAGED TO DEVELOP THE HABIT OF TAKING PART IN INTERNATIONAL EVENTS IN BERLIN. FOR THIS PURPOSE THE FOLLOWING STEPS MIGHT BE CONTEMPLATED:
- (1) ENCOURAGING MEETINGS IN BERLIN OF INTERNATIONAL ORGANIZATIONS, IN THE FIRST INSTANCE OF A CULTURAL, SCIENTIFIC, OR ECONOMIC NATURE;
- (2) SEEKING TO ENSURE THAT BERLIN IS NOT DISCRIMINATED AGAINST AS A SITE FOR INTERNATIONAL MEETINGS, BUT AVOIDING A DEMONSTRATIVE ACCUMULATION OF MEETINGS;
- (3) INVITING THE SOVIETS TO NON-GOVERNMENTAL MEETINGS IN BERLIN ORGANIZED BY GERMAN INSTITUTIONS IN COOPERATION WITH AMERICAN, BRITISH OR FRENCH INSITITUTIONS (ASPEN INSTITUTE);
- (4) ADOPTING A FLEXIBLE INVITATION PROCEDURE FOR NON-GOVERNMENTAL INTERNATIONAL EVENTS.

EAST-WEST NEGOTIATIONS

- 22. AS FAR AS THE INCLUSION OF BERLIN IN EAST-WEST NEGOTIATIONS (E.G., CSCE, EEC/CMEA) IS CONCERNED, THE WESTERN APPROACH COULD BE BASED ON THE FOLLOWING PRINCIPLES.
- (A) ALL AGREEMENTS SHOULD MAKE SAAPISFACTORY PROVISION FOR THE INCLUSION OF BERLIN, PROVIDED THAT THIS IS NOT PRECLUDED BY

THE NATURE OF THE AGREEMENT CONCERNED;

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(B) THE FOUR GOVERNMENTS SHOULD THEREFORE WORK OUT IN ADVANCE FORMULAE FOR THIS PURPOSE;

(C) THESE FORMULAE WOULD THEN HAVE TO INCLUDE THE OTHER

WESTERN PARTNERS, IN ORDER TOGET THEIRSUPPORT;

(D) DURING THE NEGOTIATIONS THEMSELVES THE ALLIED DELEGATIONS

WOULD ACTIVELY SUSTAIN THE POSITION THEY HAD COMMONLY AGREED;

(E) IT WOULD BE GENERALLY USEFUL TO ASSESS IN ADVANCE THE REPERCUSSIONS FOR BERLIN OF ALL PROPOSALS OF THIS KIND AND DRAW THE ATTENTION OF GOVERNMENTS TO THE BERLIN PROBLEMS INHERENT IN PROPOSALS SUCH AS CSCE FOLLOW-UP CONFERENCES.

BERLIN AND THE EC

23. THE PARTICIPATION OF BERLIN IN THE PROGRESSIVE DEVELOPMENT OF THE COMMUNITY TOUCHES ON ESSENTIAL ASPECTS OF THE BERLIN PROBLEM (TIES, REPRESENATION ABROAD, STATUS). IT IS ESSENTIAL TO THE MAINTENANCE OF THE VIABILITY OF THE CITY. IT IS THUS A BASIC PROBLEM, ON WHICH A SOVIET REQUEST FOR QUADRIPARTITE CONSULTATIONS CANNOT BE EXCLUDED.

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24. THE WESTERN APPROACH COULD BE THE FOLLOWING:

(A) NOT TO MAKE ANY CONCESSION ON THE PRINCIPLE THAT BERLIN REMAINS INCLUDED IN THE AREA OF APPLICATION OF THE EC TREATIES SUBJECT TO ALLIED RIGHTS AND RESPONSIBILITIES REMAINING UNAFFECTED.

(B) TO TELL THE SOVIETS THAT SUCH INCLUSION DOES NOT AFFECT THE STATUS OF BERLIN AND THAT FUTURE COMMUNITY DEVELOPMENTS WILL NOTAFFECT ALLIED RIGHTS AND RESPONSIBILITIES.

(C) THE GERMAN, BRITISH AND FRENCH GOVERNMENTS, IN CONSULTAION WITH THAT OF THE US, SHOULD IDENTIFY WELL IN ADVANCE THE BERLIN ASPECTS OF EVERY POLITICALLY IMPORTANT NEW COMMUNITY DEVELOPMENT AND YGLY THAT NO DEVELOPMENT IS PERMITTED TO AFFECT ALLIED RIGHTS AND RESPONSIBILITIES, INCLUDING THOSE RELATING TO MATTERS OF SECURITY AND STATUS.

TREATY COOPERATION

24. THE UNBLOCKING OF TREATY COOPERATION BETWEEN THE FRG AND CONFIDENTIAL

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EASTERN COUNTRIES IS TECHNICALLY ONE OF THE MOST DIFFICULT AIMS TO ACHIEVE. THE APPROACH TO THE PROBLEM COULD BE BOTH DIRECT AND INDIRECT:

(A) (1) DIRECTLY, AND KEEPING INCLOSE TOUCH WITH THE ALLIES, THE FRG WOULD MAKE ANOTHER ATTEMPT TO NEGOTIATE WITH EASTERN COUNTRIES SATISFACTORY SOLUTIONS FOR INCLUDING BERLIN IN THE AGREEMENTS CONTEMPLATED.

(2) THE THREE ALLIES AND THE FRG COULD SUPPLEMENT THIS BY ASSISTING THE SENAT TO RESIST PRESSURES FROM EASTERN COUNTRIES TO ACCEPT UNJUSTIFIABLE "DIRECT CONTACTS" IN ORDER TO AVOID ANY ENCROACHMENT ON THE RIGHTS CONFERRED ON THE FRG IN 1952/54.

(B) INDIRECTLY, THE FOLLOWING COULD BE ENVISAGED:

(1) BEING MORE EXPLICITABOUT ALLIED RESERVATIONS IN

BERLIN DECLARATIONS ON MULTILATERAL TREATIES;

(2) BEING MORE RESTRICTIVE ABOUT EXTENDING TO BERLIN THOSE TREATIES CONCLUDED BY THE FRG WHICH CONCERN QUESTIONS OF SECURITY AND STATUS, IN ORDER TO DEFEND OUR POSITION MORE SOLIDLY IN OTHER CASES;

(3) CONTINUING AN UNDEMONSTRATIVE POLICY ON TIES AND SEEKING TO PERSUADE THE SOVIETS TO ACCEPT COOPERATION, AT FIRST INFORMAL AND PROBABLY CONFIDENTIAL, WITH ANY INSTITUTION, THE RESEARCH OR ACTIVITIES OF WHICHINTEREST THESOVIET UNION PRACTICALLY.

26. IN THEIRHIGH LEVEL BILATERAL MEETINGS WITH THE SOVIETS, THE THREE ALLIES MIGHT PLACE THE BERLIN PROBLEM IN THE CONTEXT OF EAST-WEST RELATIONS, MAKING IT CLEAR THAT BERLIN CANNOT BE ISOLATED FROM OTHER, BROADER ISSUES. END TEXT. KISSINGER

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